

Set 4 – due 16 February

“Diffraction problems are amongst the most difficult ones encountered in optics.” (From “Principles of Optics,” Born and Wolf)

1) [20 points] Jackson 10.9a. Use the Born approximation. The integral peaks strongly in the forward direction, so you can replace  $qa \simeq ka\theta$ ,  $d \cos \theta = \theta d\theta$ , and take the range of  $\theta$  from 0 to infinity. You’ll get an integral

$$\sigma \simeq 2\pi |\epsilon - 1|^2 k^2 a^4 \int_0^\infty dx \frac{j_1(x)^2}{x^2}. \quad (1)$$

At that point Bessel function identities near Jackson 9.90 might be useful. Notice how the Rayleigh  $k^4$  is softened by the extended source to  $\sigma \sim k^2$ .

2) [20 points] Jackson 10.11. (a,b only). Hint: expand

$$R = [(x - x')^2 + y'^2 + z^2]^{1/2} \sim z \left[ 1 + \frac{(x - x')^2 + y'^2}{2z^2} + \dots \right] \quad (2)$$

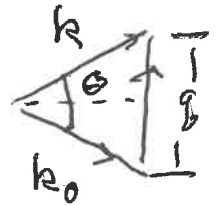
For Fresnel integrals, see Wikipedia “Fresnel Integral,” Abramowitz and Stegun, p. 300, or Morse and Feshbach, p. 816. There does not seem to be a standardized notation for these functions.

3) [20 points] Jackson 10.12. In (a), work in the Fraunhofer limit. It is quite similar to the calculation done on pp. 491-492, (it is basically “solve by copy”) except that the initial polarization is  $\vec{\epsilon}_0 = \hat{y}$ . For part (b), use the Dirichlet formula, 10.85. (drop the  $+i/(kR)$ )

10.9 - Scattering from a uniform dielectric sphere of radius  $a$ , permittivity  $\epsilon = 1 + \delta\epsilon$ . Begin with Jackson eq 10.31 10.9.1

$$\frac{d\sigma}{d\Omega}(\hat{E}, \hat{E}_0) = \frac{k^4}{16\pi^2} |\hat{E}^* \cdot \hat{E}_0|^2 \left| \int d^3x e^{i\vec{g} \cdot \vec{x}} \delta\epsilon(x) \right|^2$$

and  $\vec{g} = \vec{k} - \vec{k}_0$  so  $|\vec{g}| = 2k \sin \frac{\theta}{2}$



To do the integral put the  $z$  axis along  $\vec{g}$  so  $\vec{g} \cdot \vec{x} = g r \cos \theta$ , then

$$F(\vec{g}) = \int_{r < a} d^3x e^{i\vec{g} \cdot \vec{x}} = 2\pi \int_0^a r^2 dr \int_{-1}^1 d\mu e^{i g r \mu}$$

$$= 2\pi \int_0^a r^2 dr \left[ \frac{e^{i g r} - e^{-i g r}}{i g r} \right]$$

$$= \frac{4\pi}{g^3} \int_0^{g a} g r \sin g r dr = \frac{4\pi}{g^3} \int_0^{g a} x \sin x dx$$

$$= \frac{4\pi}{(g a)^3} a^3 \left[ \sin g a - g a \cos g a \right] \text{ from table}$$

$$= 4\pi a^3 \frac{j_1(g a)}{g a} \text{ in terms of a spherical Bessel fn.}$$

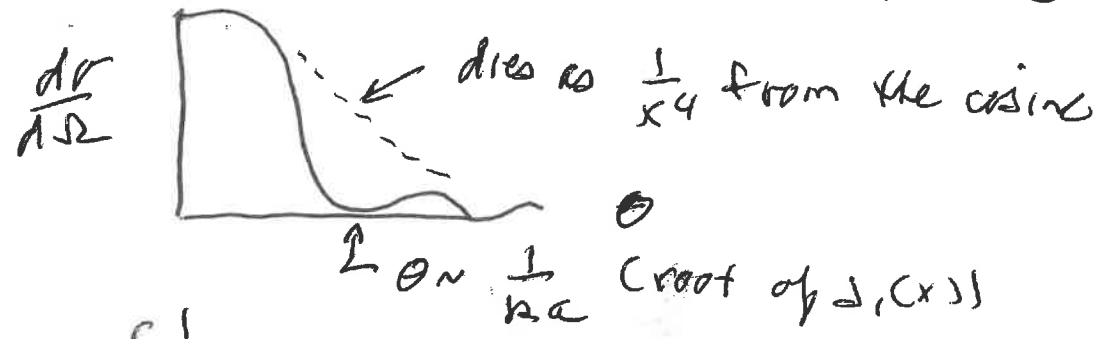
$$\frac{d\sigma}{d\Omega} = k^4 a^6 |\hat{E}^* \cdot \hat{E}_0|^2 (\delta\epsilon)^2 \left[ \frac{j_1(g a)}{g a} \right]^2$$

Note as  $g a \rightarrow 0$ ,  $j_1(g a) \sim \frac{1}{3} g a$ ,  $\frac{d\sigma}{d\Omega} = k^4 a^6 \left| \frac{\epsilon - 1}{3} \right|^2 |\hat{E}^* \cdot \hat{E}_0|^2$

The factor  $\frac{\epsilon-1}{3}$  is the  $\epsilon \rightarrow 1$  limit of  $\frac{\epsilon-1}{\epsilon+2}$ , the term in the dipole moment of the sphere, found in eq. 10.6. In MKS it is  $\frac{\epsilon-\epsilon_0}{\epsilon+2\epsilon_0} \approx \frac{\epsilon/\epsilon_0-1}{3}$ .

Because  $\beta a = 2ka \sin \frac{\theta}{2}$ , large  $ka$  implies large  $\beta a$ .  
 Now  $\frac{j_1(x)}{x} = \frac{\sin x}{x^3} - \frac{\cos x}{x^2}$ . For small angles,

$x = \beta a \approx ka\theta$  ( $\sin \theta \approx \theta$ ). A picture



$$\sigma = 2\pi \int_{-1}^1 d\cos\theta \frac{d\sigma}{d\Omega} = 2\pi |\epsilon-1|^2 k^4 a^6 \times \int d\cos\theta |\hat{\epsilon} \cdot \hat{\epsilon}_0|^2 \frac{j_1(\beta a)^2}{(\beta a)^2}$$

Averaging initial polarizations, summing final ones,  
 $\frac{1}{2} \sum_{pol} |\hat{\epsilon} \cdot \hat{\epsilon}_0|^2 = \frac{1 + \cos^2\theta}{2} \approx 1$  at small  $\theta$ .

The integral is strongly peaked at  $\theta \approx 0$ . Calling  $x = \beta a = ka\theta$ ,  $d\cos\theta = \theta d\theta = \frac{x dx}{(ka)^2}$   
 $\int_0^\pi d\theta \approx \int_0^\infty d\theta$  to get a nicer integral (very small error doing this! ~~if~~ if  $ka$  is large)

10.9.3

$$\sigma = 2\pi |\epsilon - 1|^2 \frac{k^4 a^6}{(ka)^2} \int_0^\infty x dx \frac{j_1(x)^2}{x^2}$$

$$= 2\pi |\epsilon - 1|^2 k^2 a^4 I \quad \text{where } I = \int_0^\infty dx \frac{j_1(x)^2}{x}$$

There are spherical Bessel function identities

$$2 \frac{j_1(x)}{x} = j_0(x) - j_1'(x)$$

$$j_1(x) = -j_0'(x)$$

$$\begin{aligned} \text{so } I &= \int_0^\infty dx j_1(x) \left[ \frac{j_0(x) - j_1'(x)}{2} \right] \\ &= -\frac{1}{2} \int_0^\infty dx \left[ j_0'(x) j_0(x) + j_1(x) j_1'(x) \right] \\ &= -\frac{1}{4} \left[ j_0(x)^2 + j_1(x)^2 \right] \Big|_0^\infty \\ &= \frac{1}{4} [1 - 0] = \frac{1}{4} \end{aligned}$$

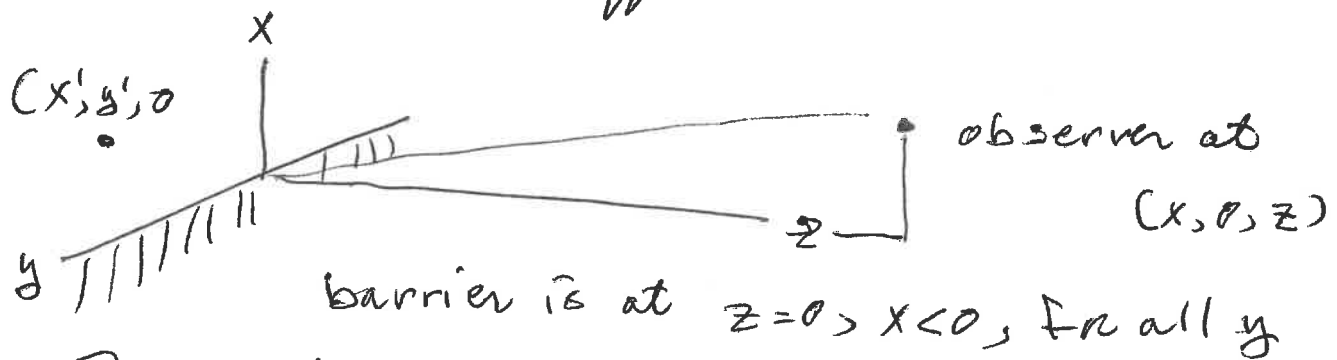
$$\text{and so } \sigma = \frac{\pi}{2} |\epsilon - 1|^2 k^2 a^4 \quad \text{CGS}$$

$$\frac{\pi}{2} \left| \frac{\epsilon}{\epsilon_0} - 1 \right|^2 k^2 a^4 \quad \text{MKS}$$

Note how the form factor suppresses the Rayleigh  $k^4$  factor when  $ka$  gets large.

# 10.11 - Fresnel diffraction

10.11.1



barrier is at  $z=0, x < 0$ , for all  $y$

The incident wave is  $\psi_0(x, t) = I_0^{1/2} \exp[i(kz - \omega t)]$

The observer is at  $(x, 0, z)$  with  $z \gg x, kz \gg 1$ .

as The Kirchhoff integral is

$$\psi(x) = \frac{k}{2\pi i} \int_0^\infty dx' \int_{-\infty}^\infty dy' \frac{e^{ikR}}{R} \frac{\hat{n}' \cdot \vec{R}}{R} \psi_0(x', y')$$

$$\psi_0(x', y') = I_0^{1/2} \exp(ikz') = I_0^{1/2} \text{ at } z'=0$$

$$\hat{n}' = \hat{z}, R = \vec{x} - \vec{x}' \Rightarrow R = [(x-x')^2 + y'^2 + z^2]^{1/2}$$

$$\approx z \left[ 1 + \frac{(x-x')^2 + y'^2}{2z^2} + \dots \right]$$

$$\text{and } \frac{\hat{n}' \cdot \vec{R}}{R} = \frac{\hat{z} \cdot (\vec{x} - \vec{x}')}{R} = \frac{z}{R} \approx 1. \text{ Note } \vec{x}' \text{ is at } z'=0.$$

Assembling all the parts gives

$$\begin{aligned} \psi(x, 0, z) &= \frac{k I_0^{1/2}}{2\pi i} \int_0^\infty dx' \int_{-\infty}^\infty dy' \frac{e^{ikz}}{z} e^{\frac{ik(x-x')^2}{2z}} e^{\frac{iky'^2}{2z}} \\ &= \frac{k I_0^{1/2} e^{ikz}}{2\pi i z} \int_0^\infty dx' \exp\left[\frac{ik(x-x')^2}{2z}\right] \int_{-\infty}^\infty dy' \exp\left[\frac{iky'^2}{2z}\right] \end{aligned}$$

The  $y'$  integral is a Gaussian, giving

$$\sqrt{\frac{2\pi z}{-ik}} = (1+i) \sqrt{\frac{\pi z}{k}}$$

The  $x$ -integral gives the Fresnel formulae. 10-11.2  
 To get Jackson's answer, begin with

$$J = \int_0^{\infty} dx' \exp i k (x-x')^2 / 2z,$$

set  $t = \sqrt{\frac{k}{2z}} (x'-x)$  so  $dx' = \sqrt{\frac{2k}{z}} dt$ . Notice

that  $x'=0$  at  $t = -x \sqrt{\frac{k}{2z}} \equiv -\xi$  and

$$\psi(x, 0, z) = I_0^{1/2} e^{ikz} \int_{-\xi}^{\infty} \left[ \frac{1+i}{2i} \right] \sqrt{\frac{2}{\pi}} dt e^{it^2}.$$

$$b) I = |\psi|^2 = I_0 \cdot \frac{2}{4} \cdot \frac{2}{\pi} \left| \int_{-\xi}^{\infty} dt e^{it^2} \right|^2$$

$$= \frac{I_0}{\pi} \left| \int_{-\xi}^{\infty} dt e^{it^2} \right|^2. \text{ The integral is the famous}$$

Fresnel integral, with various conventions.

Abramowitz + Stegun p. 300 define

$$C_1(u) = \sqrt{\frac{2}{\pi}} \int_0^u dt \cos t^2, \quad S_1(u) = \sqrt{\frac{2}{\pi}} \int_0^u dt \sin t^2$$

Wikipedia defines  $C(u) = \sqrt{\frac{\pi}{2}} C_1(u)$ ,  $S(u) = \sqrt{\frac{\pi}{2}} S_1(u)$ .

$$\text{Our integral is } \tilde{I} = \int_{-\xi}^{\infty} dt e^{it^2} = \int_{-\xi}^0 e^{it^2} dt + \int_0^{\infty} e^{it^2} dt$$

$$\tilde{I} = \int_0^{\xi} dt [\cos t^2 + i \sin t^2] + \frac{\sqrt{i\pi}}{2}$$

(another Gaussian!)

so -

$$\tilde{f} = \sqrt{\frac{\pi}{2}} \left[ C_1(\xi) + i S_1(\xi) + \frac{1+i}{2} \right] \text{ and}$$

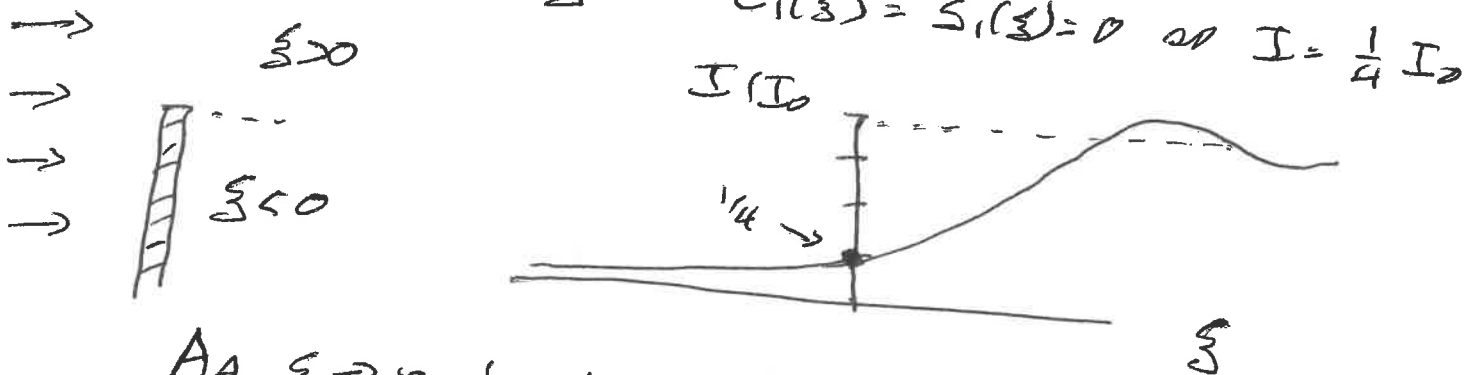
10-11.3

the intensity is

$$I = \frac{I_0}{2} \left[ \left( C_1(\xi) + \frac{1}{2} \right)^2 + \left( S_1(\xi) + \frac{1}{2} \right)^2 \right]$$

$\xi = \sqrt{\frac{k}{2z}} x$  so  $\xi > 0$  is the "bright region" and  $\xi < 0$  is the "dark region" below the

screen. At  $\xi = 0$   $C_1(\xi) = S_1(\xi) = 0$  so  $I = \frac{1}{4} I_0$



As  $\xi \rightarrow \infty$  both  $C_1$  and  $S_1$  approach  $\frac{1}{2}$  and  $I/I_0 = 1$ . We can write ( $\xi > 0$ , Wikipedia)

$$C_1(\xi) = \sqrt{\frac{2}{\pi}} \left[ \sqrt{\frac{\pi}{8}} - \frac{\sin \xi^2}{2\xi} - \frac{\cos^2 \xi}{4\xi^3} \right] \sim \frac{1}{2} - \frac{1}{\sqrt{2\pi}} \frac{\sin \xi^2}{\xi}$$

$$S_1(\xi) = \sqrt{\frac{2}{\pi}} \left[ \frac{\pi}{8} - \frac{\cos \xi^2}{2\xi} - \frac{\sin^2 \xi^2}{4\xi^3} \right] = \frac{1}{2} - \frac{1}{\sqrt{2\pi}} \frac{\cos \xi^2}{\xi}$$

Also since  $C_1(-\xi) = -C_1(\xi)$ ,  $S_1(-\xi) = -S_1(\xi)$

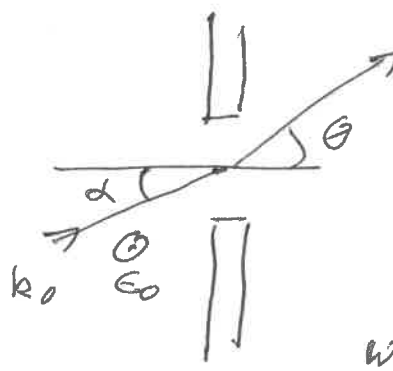
$$I(z) \xrightarrow{z \rightarrow -\infty} \frac{I_0}{2} \left[ 2 \times \left( -\frac{1}{2} + \sigma \left( \frac{1}{\xi} \right) + \frac{1}{2} \right)^2 \right] \sim \frac{I_0}{\xi^2}$$

so the dark region is really dark. Also

$$I(z) \xrightarrow{z \rightarrow \infty} I_0 \left[ 1 + \frac{1}{\sqrt{2\pi}} \frac{\sin^2 \xi^2 - \cos^2 \xi^2}{\xi} + \dots \right]$$

expressing the ripples in Fresnel diffraction.

10.12) Diffraction through a circular aperture - the side view labels angles. We begin with 10.14,



the Smythe-Kirchhoff formula

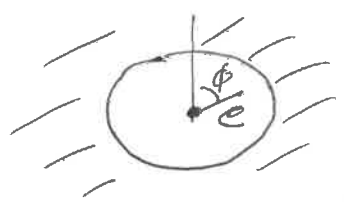
$$\vec{E}(x) = \frac{1}{2\pi} \vec{\nabla}_x \int_{\text{aperture}} dA' [\hat{n}' \times \vec{E}] \frac{e^{ikR}}{R}$$

where  $\vec{E}_0 = \hat{e}_0 E_0 e^{ik_0 x}$ ,  $\hat{e}_0 = \hat{y}$ ,  $\hat{n}' = \hat{z}$

$|k_0| = k_0$ ,  $kR = kr - k\hat{n}' \cdot \vec{x}'$  in the Fraunhofer limit, and  $k_{sc} = \hat{n} k$  so -

$$\vec{E}(x) = ik E_0 \frac{e^{ikr}}{r} [\hat{n} \times (\hat{z} \times \hat{e}_0)] \int dA' e^{i(\vec{k} - \vec{k}_{sc}) \cdot \vec{x}'}$$

Still more labels -  $\int dA = \int_0^a \rho d\rho \int_0^{2\pi} d\phi$



and  $\vec{x}' = \rho [\hat{x} \cos \phi' + \hat{y} \sin \phi']$  with polar coordinates for the aperture,

$$\hat{n} = (\hat{x} \sin \theta \cos \phi + \hat{y} \sin \theta \sin \phi + \hat{z} \cos \theta)$$

$$\vec{k}_0 = k [\hat{x} \sin \alpha + \hat{z} \cos \alpha] \text{ (the beam is in the x-z plane)}$$

$$\text{so } k_{sc} \cdot \vec{x}' = k \hat{n} \cdot \vec{x}' = k \cos \theta \cos(\phi - \phi')$$

$$\vec{k}_0 \cdot \vec{x}' = k \sin \alpha \cos \phi'$$

put it all together

$$\vec{E}(x) = ik E_0 \frac{e^{ikr}}{2\pi r} [\hat{n} \times (\hat{z} \times \hat{e}_0)] \tilde{I}$$

where



$$\vec{I} = \int_0^a e \, d\ell \int_0^{2\pi} d\varphi' \exp [i k e (\sin \alpha \cos \varphi' - \sin \theta \cos(\varphi - \varphi'))]$$

10.12.2

This is pretty much eq. 10.112. The key to doing the integral is to write the term in parentheses as

$$\begin{aligned} \sin \alpha \cos \varphi' - \sin \theta \cos \varphi \cos \varphi' + \sin \theta \sin \varphi \sin \varphi' \\ = A \cos \varphi' + B \sin \varphi' \\ = C \cos(\varphi' - D) \quad (\text{trig identity}) \end{aligned}$$

and to use

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} d\varphi' \exp [-i C \cos(\varphi' - D)] \\ = \frac{1}{2\pi} \int_0^{2\pi} d\varphi'' \exp [-i C \cos \varphi''] = J_0(C) \end{aligned}$$

where  $J_0(C)$  is the order-zero cylindrical Bessel function. Yes, it's improbable, but this is why you own a copy of Jackson!

$$\begin{aligned} \text{We need } C: \quad A = C \cos D = k e (\sin \alpha - \sin \theta \cos \varphi) \\ B = C \sin D = k e \sin \theta \sin \varphi \end{aligned}$$

$$C^2 = A^2 + B^2 \text{ so}$$

$$C = k e [\sin^2 \alpha - 2 \sin \alpha \sin \theta \cos \varphi + \sin^2 \theta \cos^2 \varphi + \sin^2 \theta \sin^2 \varphi]^{1/2}$$

$$= k e [\sin^2 \alpha + \sin^2 \theta - 2 \sin \alpha \sin \theta \cos \varphi]^{1/2}$$

$$\equiv k e \xi.$$

This is Eq. 10.112 so we copy Eq. 10.113

$$\vec{E}(x) = i k E_0 \frac{e^{i k r}}{r} \left\{ \hat{n} \times (\hat{z} \times \vec{E}_0) \right\} \frac{a^2 J_1(k a \xi)}{k a \xi}$$

$$\text{and } \vec{B} = \hat{n} \times \vec{E}, \quad \frac{dP}{d\Omega} = \frac{c}{8\pi} r^2 \hat{n} \times (\vec{E} \times \vec{B}^*) \text{ of course.}$$

$$\frac{dP}{d\Omega} = \frac{c k^2 a^4}{8\pi} E_0^2 \left[ \frac{J_1(ka\sin\theta)}{ka\sin\theta} \right]^2 P. \quad 10.12.3$$

$P$  is the polarization piece,  $P = |\hat{z} \times \hat{E}_0|^2 - |\hat{n} \cdot (\hat{z} \times \hat{E}_0)|^2$   
 $\hat{E}_0 = \hat{y}$  so  $\hat{z} \times \hat{E}_0 = \hat{x}$ ,  $\hat{x} \cdot \hat{x} = 1$ ,  $\hat{n} \cdot \hat{x} = \sin\theta \cos\varphi$

$$P = 1 - \sin^2\theta \cos^2\varphi = \cos^2\theta + \sin^2\theta - \sin^2\theta \cos^2\varphi \\ = \cos^2\theta + \sin^2\theta \sin^2\varphi.$$

In 10.114-10.115 the analog of  $P$  is  $\cos^2\alpha [\cos^2\theta + \sin^2\theta \cos^2\varphi]$

but in ~~this~~ case the polarization is in-plane,  $\hat{E}_0 = \hat{x}$ .

In ~~our~~ case,  $\hat{E}_0 = \hat{y}$ . There is a sanity check: if  $\alpha = 0$  a rotation of  $\varphi$  by  $\pi/2$  converts one answer to the other.

This was a lot of calculus!

The scalar version ~~of~~ is 10.85:

$$\psi(x) = \frac{k}{2\pi i} \int dA' \frac{e^{ikR}}{R} \frac{\hat{n}' \cdot \vec{R}}{R} \psi(x')$$

If  $kR \gg 1$ ,  $\vec{R} \approx R \hat{n}$ ,  $\hat{n} \cdot \hat{n}' = \hat{n} \cdot \hat{z} = \cos\theta$ , and

if we write  $\psi_0 = E_0 \exp(i\vec{k} \cdot \vec{x}')$  we would have

$$\psi(x) = \frac{k E_0}{2\pi i} \frac{e^{ikr}}{r} [\cos\theta] \int dA' e^{i(\vec{k} - \vec{k}_{sc}) \cdot \vec{x}'}$$

All that ~~happened~~ happened was that  $\cos\theta$  replaced  $\hat{n} \cdot (\hat{z} \times \hat{E}_0)$ .

In the square,  $\cos^2 \theta$  replaces  $\cos^2 \theta + \sin^2 \theta \sin^2 \varphi$ . 10.12.4  
Had we instead used 10.108, the  $\cos \theta$   
would be  $\frac{1}{2} [\cos \theta + \cos \alpha]$ .

But the only place where the signal is large  
is at small values of  $\theta$  and  $\alpha$ , ~~and~~ and  
there, all these answers are basically identical  
in fact, they are all nearly equal to 1.  
We are killing ourselves over unnecessary  
accuracy.